Docket No. 5000-4943



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kazuo MURAKAMI, et al.

Serial No.

09/926,144

International (PCT)

Application No.

PCT/JP00/08761

International

Filing Date

December 11, 2001

For

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JAN 2 8 2004

GROUP 3600 COMPRESSOR AND METHOD OF LUBRICATING

THE COMPRESSOR

### CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

FEB 1 0 2004

TECHNOLOGY CENTER R3700

Sir:

I hereby certify that the attached:

- Request for Withdrawal of Improper Notice of Abandonment (including Exhibits A-C), and
- 2. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Petition, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: January 21, 2004

By:

Steven F. Meyer

Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.

345 Park Avenue

New York, NY 10154-0053

(212) 758-4800 Telephone

(212) 751-6849 Facsimile

Docket No. 5000-4943

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Applicant(s)

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# REQUEST FOR WITHDRAWAL OF IMPROPER NOTICE OF ABANDONMENT

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Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

FEB 1 0 2004 TECHNOLOGY CENTER R3700

Sir:

Applicants request the withdrawal of the improper Notice of Abandonment mailed December 4, 2003. The grounds for the abandonment was stated to the failure to respond to the notification of missing requirements purportedly mailed on February 1, 2002. A thorough review of the file for the above-identified application and the attorney docketing system's records reveals that a notification of missing requirements was never received.

The surrounding circumstances, as evidenced by the papers filed in this application thus far, support this request. On December 20, 2001, the Patent Office issued a Decision granting a petition to revive the subject application. <u>See Exh. A.</u> The Decision indicated that:

This application is being returned to the National Stage Processing Division of the Office of PCT Operations for further processing in accordance with this decision, including the mailing of a Notification Of Missing Requirements (Form PCT/DO/EO/905) requiring submission of an executed declaration in compliance with 37 CFR 1.497.

Exh. A. Applicants suspect that there was a substantial delay in returning the application from the PCT Legal Office to the National Stage Processing Division.

By letter dated August 22, 2002, Applicants transmitted an executed Declaration and Assignment to their U.S. patent attorneys. At that time, no Notice of Missing Requirements had been received. On October 2, 2002, Applicants filed a Status Request which noted that no further Communication had been received from the Patent Office after the December 20, 2001 Decision. See Exh. B. This Status Request is contemporaneous evidence that the Notification of Missing Requirements was not received.

The return receipt postcard indicates that the Status Request was received by the Patent Office on October 7, 2002. The Patent Office never responded to the Status Request. Instead, the Patent Office next mailed the Notification of Abandonment on December 4, 2003 -- 14 months after the receipt of the Status Request.

After the receipt of the Notification of Abandonment, the attorney for the application,

Steven F. Meyer, thoroughly reviewed the file for the application and could not find any

Notification of Missing Requirements or any indication of the receipt of a Notification of

Missing Requirements. The docketing system report (see Exh. C) confirms that a Notification of

Missing Requirements was never received.

### **CONCLUSION**

The grounds for Notification of Abandonment (mailed December 4, 2003) is improper because the underlying Notification of Missing Requirements was never received. Therefore, Applicants respectfully request that the Notification of Abandonment be withdrawn.

Respectfully submitted,

Dated: January 21, 2004

Steven F. Meyer Reg. No. 35,613

Mailing Address:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 Telephone (212) 751-6849 Telecopier



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TECHNOLOGY CENTER R3700 GROUP 3600

Steven F. Meyer MORGAN & FINNEGAN, LLP 345 Park Avenue New York, New York 10154-0053

In re Application of:

JAN 2 3 2004

& TRADEN

MURAKAMI, Kazuo, et al. U.S. Application No.: 09/926,144

PCT No.: PCT/JP00/08761

International Filing Date: 11 December 2001

Priority Date: 17 December 1999 Attorney's Docket No.: 5000-4943

COMPRESSOR AND METHOD OF For:

LUBRICATING THE COMPRESSOR

**DECISION ON PETITION TO** REVIVE ABANDONED APPLICATION UNDER 37 CFR 1.137(b)

The petition to revive under 37 CFR 1.137(b) filed 07 September 2001 in the abovecaptioned application is hereby GRANTED as follows:

Applicants' statement that the "the entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional" and the accompanying explanation meet the requirements of 37 CFR 1.137(b)(3).

Applicants have now submitted the basic national fee and the requirements of 37 CFR 1.137(b) have been satisfied. Therefore, the request to revive the application is granted as to the national stage in the United States of America.

This application is being returned to the National Stage Processing Division of the Office of PCT Operations for further processing in accordance with this decision, including the mailing of a Notification Of Missing Requirements (Form PCT/DO/EO/905) requiring submission of an executed declaration in compliance with 37 CFR 1.4979

Richard M. Ross PCT Petitions Attorney

PCT Legal Office

Telephone:

(703) 308-6155

Facsimile:

(703) 308-6459

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# RECEIVED FEB 1 0 2004 TECHNOLOGY CENTER R3700

GROUP 3600

Case No	2rial No. <u>09/926,144</u> ATTY  SFM
Date Due in the Patent Office	

The return of this post card, properly stamped, will acknowledge receipt in the Patent & Trademark Office of the following:

- 1. STATUS REQUEST
- 2. Copy of Decision on Petition
- 3 Certificate of Mailing

4.-

5.-

6.-



**BEST AVAILABLE COPY** 



PATENT Attorney Docket No. 5000-4943

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JAN 2 8 2004

GROUP 3600

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Kazuo MURAKAMI, et al.

Serial No.

09/926,144

:

International (PCT)

Application NO.

PCT/JP00/08761

International

Filing Date

December 11, 2001

For

COMPRESSOR AND METHOD OF LUBRICATING

THE COMPRESSOR

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Commissioner for Patents Washington, D.C. 20231

FEB 1 0 2004

TECHNOLOGY CENTER R3700

### **CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

Sirs:

I hereby certify that the attached (1) Status Request, (2) Copy of Decision On Petition, and (3) return receipt postcard, and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

Dated: October 2, 2002

Steven F. Meyer

Registration No. 35,613

### **Mailing Address:**

MORGAN & FINNEGAN, L.L.P 345 Park Avenue New York, New York 10154 Tel. No. (212) 758-4800 Facsimile No. (212) 751-6849



Patent Docket No. 5000-4943

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Kazuo MURAKAMI, et al.

Serial No.

09/926,144

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Commissioner of Patents Washington, D.C. 20231

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STATUS REQUEST

Sir:

TECHNOLOGY CENTER R3700

A decision on Petition to Revive Abandoned Application Under 37 C.F.R. §1.137(b) was granted on December 20, 2001 (copy enclosed). To date, no further Communication has been received. It is hereby respectfully requested that the undersigned attorney be advised regarding the status of the above-identified application.

Respectfully submitted,

Dated: October 2, 2002

Steven F. Meyer

Registration No. 35,613

CORRESPONDENCE ADDRESS:

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New York, New York 10154-0053



United States Patent and Trademark Office



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

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**GROUP 3600** 

In re Application of:

Steven F. Meyer

345 Park Avenue

MURAKAMI, Kazuo, et al.

U.S. Application No.: 09/926,144

PCT No.: PCT/JP00/08761

International Filing Date: 11 December 2001

Priority Date: 17 December 1999 Attorney's Docket No.: 5000-4943

COMPRESSOR AND METHOD OF For:

LUBRICATING THE COMPRESSOR

FEB 1 0 2004 DECISION ON PETITION TO REVIVE ABANDONED TECHNOLOGY CENTER R3700 PPLICATION UNDER 37

CFR 1.137(b)

The petition to revive under 37 CFR 1.137(b) filed 07 September 2001 in the abovecaptioned application is hereby GRANTED as follows:

Applicants' statement that the "the entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional" and the accompanying explanation meet the requirements of 37 CFR 1.137(b)(3).

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Richard M. Ross **PCT Petitions Attorney PCT Legal Office** 

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INTERNATION DISCLOSURE STATEMENT -

FOREIGN FILING.

317995 SENTION DATE EXPIRES

1907 - 2 - 12: 4P

Meyer

**Country Application** 

10-Dec-03

Case Number: 05000-4943

Country: US

SubCase:

Assignee: KABUT

United States of America

Kabushiki Kaisha Toyota Jidoshokki

Application Title: Compressor and method for lubricating a compressor

Application Status: Pending

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Case Type: PCT

FEB 1 0 2004 Filing Date: 17-Aug-2001

Action Taken

Application Number: 09/926144

**Issue Date:** 

TECHNOLOGY CENTER RETOO

Patent Number: **Publication Number:** 

**Publication Date:** 

Priority Number: 11-358975

Priority Date: 17-Dec-1999

Tax Schedule: LE

**Expiration Date:** 

**Recorded Date:** 

**Tax Start Date:** 

Frame:

Reel Number: **Client IDS:** 

**Managing Atty:** 

Client Pays:

**Date Received: Inventor Ref:** 

Okada Patent and Trademark

Agent: OKAD **Agent Reference Number:** 

**List Of User Actions** 

Action(s) Due	Due Date		Action Taken
Formal Drawings Filed	17-Aug-2001	Filed	17-Aug-2001
Preliminary Amendment Filed	17-Aug-2001	Filed	17-Aug-2001
Petition to Revive Appl Filed	07-Sep-2001	Filed	07-Sep-2001
Decision on Petition Granted	20-Dec-2001	Received	20-Dec-2001
Decision on Petition Received	20-Dec-2001	Received	20-Dec-2001
Executed Decl. and Assignment	22-Aug-2002	Received	22-Aug-2002
Status Inquiry Filed	02-Oct-2002	Filed	02-Oct-2002
European Search Report	20-Nov-2002	Received	20-Nov-2002
2 Mo. Rem/File IDS	04-Dec-2002	Reminder	10-Dec-2002
I.D.S Filed	10-Dec-2002	Filed	10-Dec-2002
1 Mo. Rem/File IDS	04-Jan-2003	Reminder	10-Dec-2002
File IDS per agt Instructions	04-Feb-2003	Final	10-Dec-2002
Notice of Abandonment Rec'd	04-Dec-2003	Received	04-Dec-2003
Confirm Abandonment	04-Jan-2004	Due Date	
Petition To Revive Application	04-Feb-2004	Final	

**Country Application** 

10-Dec-03

Remarks: National Phase Appln of PCT/JP00/08761 filed 12/11/00

User ID: lzeigler

Date Created: 09-Nov-2001

Last Update: 15-Dec-2002